

# ChinaAMC Hang Seng Hong Kong Biotech Index ETF

(Stock Code: 3069/9069)

Fund Factsheet



华夏基金(香港)有限公司  
CHINA ASSET MANAGEMENT (HONG KONG) LIMITED

As of 31 Oct 2022

37/F, Bank of China Tower, 1 Garden Road, Hong Kong

Investment involves risks, including the loss of principle. Past performance is not indicative of future results. Before investing in the ChinaAMC Hang Seng Hong Kong Biotech Index ETF (the "Fund"), investor should refer to the Fund's prospectus for details, including the risk factors. You should not make investment decision based on the information on this material alone. Please note:

- The Fund aims to provide investment result that, before fees and expenses, closely corresponds to the performance of the Hang Seng Hong Kong-Listed Biotech Index (the "Index").
- The Fund is passively managed. Falls in the Index are expected to result in corresponding falls in the value of the Fund.
- The Fund's investment in equity securities is subject to general market risks, whose value may fluctuate due to various factors.
- The Index is a new index. The Fund may be riskier than those tracking more established indices with longer operating history.
- The Fund is subject to concentration risks in biotech companies and in a particular geographical region (i.e. Hong Kong and mainland China). The Fund may be more volatile than a broadly-based fund.
- The Fund is exposed to risks associated with characters of biotech companies, such as pre-revenue, incurrence of net current liabilities, lower liquidity, higher volatility, dependency on intellectual property rights or patents, technological changes, increased regulations and intense competition.
- The Fund is subject to securities lending transactions risks, including the risk that the borrower may fail to return the securities in a timely manner.
- The Fund is subject to tracking error risk.
- If any suspension of the inter-counter transfer of units and/or any limitation on the level of services by brokers and CCASS participants occurs, unitholders will only be able to trade their units in one counter. The market price of units traded in each counter may deviate significantly.
- Unitholders will receive distributions in the HKD only. Non HKD based unitholder may have to bear bank or financial institution fees and charges associated with currency conversion.
- The Fund's base currency is HKD but has units traded in USD. Investors may be subject to additional costs or losses associated with foreign currency fluctuations.
- Generally, retail investors can only buy or sell units of the Fund on the SEHK. The trading price of the units on the SEHK is driven by market factors such as the demand and supply of the units. Therefore, the units may trade at a substantial premium or discount to the Fund's NAV.

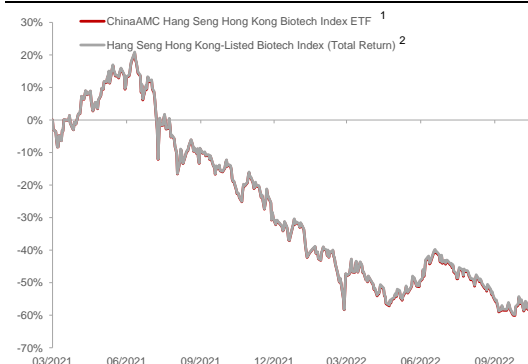
## ▲ Investment Objective

The investment objective of the Sub-Fund is to provide investment results that, before fees and expenses, closely correspond to the performance of the Hang Seng Hong Kong-Listed Biotech Index.

## ▲ Fund Information<sup>3</sup>

Manager	China Asset Management (Hong Kong) Limited
Trustee	HSBC Institutional Trust Services (Asia) Limited
Management Fee	0.5%(p.a.)
Base Currency	HKD
Index	Hang Seng Hong Kong-Listed Biotech Index
Exchange Listing	The Stock Exchange of Hong Kong Limited -Main Board
Fund Size	HKD 647.62 million
ETF Website	<a href="https://www.chinaamc.com.hk/product/chinaamc-hang-seng-hong-kong-biotech-index-etf-3069-hk-9069-hk/">https://www.chinaamc.com.hk/product/chinaamc-hang-seng-hong-kong-biotech-index-etf-3069-hk-9069-hk/</a>

## ▲ Fund Performance



## Secondary Market Trading Information

	USD Counter	HKD Counter
Commencement of Dealing	30 June, 2021	18 March, 2021
Stock Code	9069	3069
Trading Currency	USD	HKD
Trading Board Lot Size	100 Units	100 Units
Bloomberg Fund Ticker	9069 HK	3069 HK
ISIN Code	HK0000744208	HK0000711199

## ▲ Cumulative Return<sup>1</sup>

	1 Month	6 Month	1 Year	3 Year	5 Year	Since Inception <sup>4</sup>
ChinaAMC Hang Seng Hong Kong Biotech Index ETF <sup>1</sup>	-0.14%	-15.69%	-48.92%	-	-	-58.34%
Hang Seng Hong Kong-Listed Biotech Index (Total Return) <sup>2</sup>	-0.07%	-15.52%	-48.64%	-	-	-58.17%

## ▲ Yearly Return<sup>1</sup>

	2017	2018	2019	2020	2021 <sup>4</sup>	2022YTD
ChinaAMC Hang Seng Hong Kong Biotech Index ETF <sup>1</sup>	-	-	-	-	-30.99%	-39.63%
Hang Seng Hong Kong-Listed Biotech Index (Total Return) <sup>2</sup>	-	-	-	-	-31.08%	-39.31%

<sup>1</sup> Past performance information is not indicative of future performance. Investors may not get back the full amount invested. The computation basis of the performance is calculated on NAV-to-NAV, with dividend reinvested. With effect from 8 November 2021, the investment strategy of the Fund was changed. The performance of the Fund prior to 8 November 2021 was achieved under circumstances that no longer apply.

<sup>2</sup> Based on total return, denominated in HKD

<sup>3</sup> Please refer to the Fund's prospectus for further details (including fees).

<sup>4</sup> Calculated since March 18, 2021, the first official NAV date of the fund.

Source: China Asset Management (Hong Kong) Limited and Bloomberg unless specified otherwise.

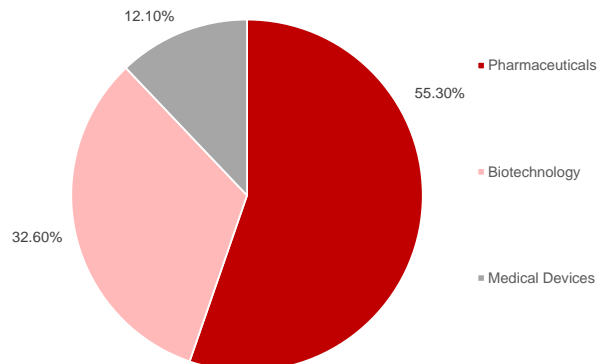
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## ▲ Portfolio Allocation



## ▲ Top 10 Holdings

Security Name	Weight
CSPC PHARM GRP	11.40%
JD HEALTH INTER	7.56%
SINO BIOPHARMAC	6.79%
WUXI BIOLOGICS	6.14%
INNOVENT BIOLO	5.79%
SHANDONG WEIG H	4.25%
GENSCRIPT BIOTE	3.97%
WUXI APPTec CO	3.51%
SINOPHARM GP H	3.17%
ALIBABA HEAL IN	2.83%

## About China Asset Management Co., Ltd.

China Asset Management Co., Ltd. ("ChinaAMC"), the parent company of China Asset Management (Hong Kong) Limited, is a leading passive fund manager in mainland China. It launched one of the first enhanced index funds in mainland China in 1999 and the country's first ETF in 2004. ChinaAMC also manages a number of equity and bond index funds in mainland China.

## ▲ Participating Dealers

ABN AMRO Clearing Hong Kong Limited  
BNP Paribas Securities Services  
China International Capital Corporation (Hong Kong) Limited  
China Merchants Securities (HK) Co Ltd  
Citigroup Global Markets Asia Limited  
Credit Suisse Securities (Hong Kong) Limited  
Goldman Sachs (Asia) Securities Limited  
Guotai Junan Securities (Hong Kong) Limited  
Haitong International Securities Group Limited  
Huatai Financial Holdings (Hong Kong) Limited  
J.P. Morgan Broking (Hong Kong) Limited  
Korea Investment & Securities Asia Limited  
Mirae Asset Securities (HK) Limited  
The Hongkong and Shanghai Banking Corporation Limited

## ▲ Market Makers

**HKD Counter**  
Flow Traders Hong Kong Limited  
HSBC Securities Brokers (Asia) Limited  
Vivienne Court Trading Pty. Ltd.  
Haitong International Securities Company Limited

**USD Counter**  
Flow Traders Hong Kong Limited

Please refer to the Hong Kong Exchanges and Clearing Limited's website at [www.hkex.com.hk](http://www.hkex.com.hk) for the latest lists of Market Makers.

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Email: [hkfund\\_services@chinaamc.com](mailto:hkfund_services@chinaamc.com)

### Risk Warning

Investment involves risks. The price of the Fund's units may go up as well as down. Past performance is not indicative of future performance, future return is not guaranteed and a loss of your original capital may occur. This material does not constitute an offer or solicitation of any transaction in any securities or collective investment schemes, nor does it constitute any investment advice. This document is provided for information purposes only and shall not be relied upon for making any investment decision. Certain information or data in this document has been obtained from unaffiliated third parties; we have reasonable belief that such information or data is accurate, complete and up to the date as indicated; China Asset Management (Hong Kong) Limited accepts responsibility for accurately reproducing such data and information but makes no warranty or representation as to the completeness and accuracy of data and information sourced from such unaffiliated third parties. You should read the Fund's offering documents for further details, including risk factors. If necessary, you should seek independent professional advice. This material has been prepared and issued by China Asset Management (Hong Kong) Limited. This material has not been reviewed by the Securities and Futures Commission.

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